

## Lectures 1–5: Propositional Intuitionistic Logic

Lecture 1, Jan 12

### 1. Propositional Intuitionistic Calculus

*Propositional formulae* are built from a countable set of *propositional variables*  $\text{Var} = \{p, q, r, \dots\}$  and the *falsity constant*  $\perp$  using three binary connectives:  $\rightarrow$  (*implication*),  $\wedge$  (*conjunction*, or logical “and”),  $\vee$  (*disjunction*, or logical “or”).

Note that in this formulation we haven’t included *negation* as an official logical operation. Instead of this,  $\neg A$  (“not  $A$ ”) is considered as a shortcut for  $(A \rightarrow \perp)$ .

*Intuitionistic propositional logic*,  $\text{Int}$ , is defined by the following axioms:

1.  $A \rightarrow (B \rightarrow A)$
2.  $(A \rightarrow (B \rightarrow C)) \rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C))$
3.  $(A \wedge B) \rightarrow A$
4.  $(A \wedge B) \rightarrow B$
5.  $A \rightarrow (B \rightarrow (A \wedge B))$
6.  $A \rightarrow (A \vee B)$
7.  $B \rightarrow (A \vee B)$
8.  $(A \rightarrow C) \rightarrow ((B \rightarrow C) \rightarrow ((A \vee B) \rightarrow C))$
9.  $\perp \rightarrow A$

and one inference rule:

$$\frac{A \quad A \rightarrow B}{B}$$

called *modus ponens* (“MP” for short).

Adding the 10th axiom,  $A \vee \neg A$  (*tertium non datur*, or the law of excluded middle), to  $\text{Int}$  yields classical propositional logic,  $\text{CL}$ .

Note that all these axioms are actually *axiom schemata*: one can substitute arbitrary formulae for the meta-variables  $A, B, C$ , obtaining *instances* of axioms. For example,  $(p \vee q) \rightarrow ((q \rightarrow r) \rightarrow (p \vee q))$  is an instance of Ax. 1 (with  $A = (p \vee q)$  and  $B = (q \rightarrow r)$ ).

This is a Hilbert-style calculus. The rules and axioms have clear motivation, but practical derivation can be painful:

*Example 1.* Derive  $E \rightarrow E$ .

The derivation is as follows:

- |     |   |  |
|-----|---|--|
| (1) | $(E \rightarrow ((E \rightarrow E) \rightarrow E)) \rightarrow ((E \rightarrow (E \rightarrow E)) \rightarrow (E \rightarrow E))$ | Ax. 2 with $A = C = E$ and $B = (E \rightarrow E)$ |
| (2) | $E \rightarrow ((E \rightarrow E) \rightarrow E)$   | Ax. 1 with $A = E$ , $B = (E \rightarrow E)$       |
| (3) | $(E \rightarrow (E \rightarrow E)) \rightarrow (E \rightarrow E)$   | MP from (2) and (1)                                |
| (4) | $E \rightarrow (E \rightarrow E)$   | Ax. 1 with $A = B = E$                             |
| (5) | $E \rightarrow E$   | MP from (4) and (3)                                |

Formally speaking, a *derivation* is a linearly ordered list of formulae, and each of them is either an instance of an axiom or is obtained from earlier formulae using the MP rule. If there exists a derivation ending with formula  $B$ , then  $B$  is called *derivable* (denoted by  $\vdash_{\text{Int}} B$ ). We also consider derivations from *hypotheses*: let  $\Gamma$  be a set of formulae, and we allow them to appear in derivations, along with axioms of Int. If  $B$  is derivable using  $\Gamma$ , we write  $\Gamma \vdash_{\text{Int}} B$ .

## 2. Deduction Theorem

**Theorem 1** (Deduction Theorem). *Let  $\Gamma$  be an arbitrary finite set of formulae. Then  $\Gamma, A \vdash_{\text{Int}} B$  if and only if  $\Gamma \vdash_{\text{Int}} A \rightarrow B$ .*

*Proof.* The *if* part is just an application of MP: from  $\Gamma$  we derive  $A \rightarrow B$ , and then combine it with the given  $A$  yielding  $B$ .

For the *only if* part, proceed by induction on the derivation of  $B$  from  $\Gamma \cup \{A\}$  in Int. The possible cases for  $B$  are as follows.

*Case 1:*  $B$  is an axiom of Int or  $B \in \Gamma$ . Then  $B$  is also derivable from  $\Gamma$ , and we obtain  $A \rightarrow B$  by applying MP to  $B$  and  $B \rightarrow (A \rightarrow B)$  (an instance of Ax. 1).

*Case 2:*  $B = A$ . Then  $B \rightarrow A$  (actually  $A \rightarrow A$ ) is derivable, see Example 1.

*Case 3:*  $B$  is obtained from previously derived  $C$  and  $C \rightarrow B$  by MP. Then, by induction,  $\Gamma \vdash_{\text{Int}} A \rightarrow C$  and  $\Gamma \vdash_{\text{Int}} A \rightarrow (C \rightarrow B)$ . Then we proceed as follows:

- |     |   |                      |
|-----|---|----------------------|
| (1) | $A \rightarrow C$   |                      |
| (2) | $A \rightarrow (C \rightarrow B)$   |                      |
| (3) | $(A \rightarrow (C \rightarrow B)) \rightarrow ((A \rightarrow C) \rightarrow (A \rightarrow B))$ | an instance of Ax. 2 |
| (4) | $(A \rightarrow C) \rightarrow (A \rightarrow B)$   | MP from (2) and (3)  |
| (5) | $A \rightarrow B$   | MP from (1) and (4)  |

□

The Deduction Theorem makes deriving much simpler:

*Example 2.*  $\vdash_{\text{Int}} (A \wedge B) \rightarrow (B \wedge A)$

By Deduction Theorem (with an empty  $\Gamma$ ), it is sufficient to establish  $A \wedge B \vdash_{\text{Int}} B \wedge A$ . This is done in the following way:

- |     |  |                      |
|-----|--|----------------------|
| (1) | $A \wedge B$                                 |                      |
| (2) | $(A \wedge B) \rightarrow A$                 | an instance of Ax. 3 |
| (3) | $A$  | MP from (1) and (2)  |
| (4) | $(A \wedge B) \rightarrow B$                 | an instance of Ax. 4 |
| (5) | $B$  | MP from (1) and (4)  |
| (6) | $B \rightarrow (A \rightarrow (B \wedge A))$ | an instance of Ax. 5 |
| (7) | $A \rightarrow (B \wedge A)$                 | MP from (5) and (6)  |
| (8) | $B \wedge A$                                 | MP from (3) and (7)  |

Actually, the Deduction Theorem is an overture for another formalism, called the calculus of *natural deduction* (we'll discuss it later).

### 3. BHK Semantics

Before going further, let's discuss some intuitions on which intuitionistic logic is based. We start with an informal interpretation, called *BHK-semantics* (due to Brouwer, Heyting, and Kolmogorov). Under this interpretation, a formula is considered *valid* ("intuitionistically true"), if it is *justified* by something. The question of what a *justification*, or *witness* actually is, is now left unanswered (there are several approaches, and we'll discuss them later). However, witnesses operate with logical operations in the following way:

- a witness for  $A_1 \wedge A_2$  is a pair  $\langle u_1, u_2 \rangle$ , where  $u_1$  is a witness for  $A_1$  and  $u_2$  is a justification for  $A_2$ ;
- a witness for  $A_1 \vee A_2$  is a pair  $\langle i, u \rangle$ , where either  $i = 1$  and  $u$  is a witness for  $A_1$ , or  $i = 2$  and  $u$  is a witness for  $A_2$ ;
- a witness for  $A \rightarrow B$  defines a function  $f$  that transforms any witness for  $A$  into a witness for  $B$  (if  $x$  justifies  $A$ , then  $f(x)$  should justify  $B$ );
- there is no witness for  $\perp$ .

It's quite easy to see that all axioms of Int and the MP rule are adequate to BHK. On the other hand,  $A \vee \neg A$  isn't: to justify it, you should either justify  $A$  or justify  $\neg A$ . However, there exists statements such that neither  $A$  nor  $\neg A$  is known to be true. Due to the informal nature of BHK, this doesn't actually show that one can't derive, say,  $p \vee \neg p$  in Int. This can be done either by analyzing derivations (but not in a Hilbert-style calculus), or using a formal semantics, such as Kripke's possible worlds semantics.

### 4. Kripke Semantics

A *Kripke model* is a triple  $\mathcal{M} = \langle W, R, v \rangle$ , where  $W$  a non-empty set of *possible worlds*,  $R$  is a preorder (i.e., a reflexive and transitive relation) on  $W$ , and  $v: \text{Var} \times W \rightarrow \{0, 1\}$  is the *variable valuation function*. The function  $v$  is required to be *monotonic* w.r.t.  $R$ : if  $xRy$ , then  $v(p, x) \leq v(p, y)$  for any  $p \in \text{Var}$ . In other words, if  $v(p, x) = 1$  and  $xRy$ , then  $v(p, y) = 1$ .

By  $R(x)$  we denote the set  $\{y \mid xRy\}$ .

In different worlds, different formulae are considered true. If formula  $A$  is true in world  $x$  of  $\mathcal{M}$ , we write  $\mathcal{M}, x \Vdash A$ ;  $\Vdash$  is called the *forcing relation* and defined as follows:

- $\mathcal{M}, x \not\Vdash \perp$  (falsity is never true);
- $\mathcal{M}, x \Vdash p$  iff  $v(p, x) = 1$  (truth of variables is prescribed by the  $v$  function);
- $\mathcal{M}, x \Vdash A \wedge B$  iff  $\mathcal{M}, x \Vdash A$  and  $\mathcal{M}, x \Vdash B$  (conjunction is computed classically);
- $\mathcal{M}, x \Vdash A \vee B$  iff  $\mathcal{M}, x \Vdash A$  or  $\mathcal{M}, x \Vdash B$  (so is disjunction);
- $\mathcal{M}, x \Vdash A \rightarrow B$  iff for every  $y \in R(x)$  either  $\mathcal{M}, y \not\Vdash A$  or  $\mathcal{M}, y \Vdash B$ .

This definition is designed (especially in the implication case) to preserve monotonicity of forcing: if  $\mathcal{M}, x \Vdash A$  and  $xRy$ , then  $\mathcal{M}, y \Vdash A$ .

If the Kripke model has only one world ( $|W| = 1$ ), then it is a model for classical propositional logic.

Intuitionistic propositional logic is sound w.r.t. Kripke semantics:

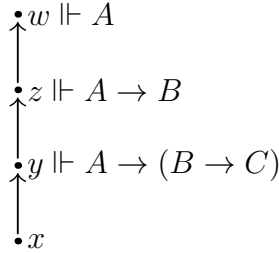
**Theorem 2.** *If  $\vdash_{\text{Int}} A$ , then for every Kripke model  $\mathcal{M} = \langle W, R, v \rangle$  and for every possible world  $x \in W$  of this model  $\mathcal{M}, x \Vdash A$ .*

*Proof.* In order to prove soundness, one needs to prove two things: (1) if  $A$  is an axiom of Int, then  $\mathcal{M}, x \Vdash A$ ; (2) if  $\mathcal{M}, x \Vdash A$  and  $\mathcal{M}, x \Vdash A \rightarrow B$ , then  $\mathcal{M}, x \Vdash B$  (forcing in  $\mathcal{M}$  is closed under application of modus ponens).

The (2) part is easy: if  $x \Vdash A \rightarrow B$ , then for every world  $y \in R(x)$  we have either  $y \not\Vdash A$  or  $y \Vdash B$ . Take  $y = x$  ( $x$  is in  $R(x)$  by reflexivity of  $R$ ). Then, given  $x \Vdash A$ , we obtain  $x \Vdash B$ .

For the (1) part, one needs to check all the 9 axioms. It is time-consuming, but technical. Let's try one of the most complicated axioms, Ax. 2.

We need to prove  $x \Vdash (A \rightarrow (B \rightarrow C)) \rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C))$ . In order to establish that a formula of the form  $E \rightarrow F$  is true in  $x$ , one needs to check that for every  $y \in R(x)$  if  $y \Vdash E$ , then  $y \Vdash F$ . Consider an arbitrary  $y \in R(x)$ , such that  $y \Vdash A \rightarrow (B \rightarrow C)$ . We need to prove that  $y \Vdash (A \rightarrow B) \rightarrow (A \rightarrow C)$ . Again, consider an arbitrary  $z \in R(y)$ , such that  $z \Vdash A \rightarrow B$ . On this turn, we need to show that  $z \Vdash A \rightarrow C$ . Let  $w$  be a world from  $R(z)$ , such that  $w \Vdash A$  and finally we need  $w \Vdash C$ . Now the picture is as follows (we omit arrows that come from transitivity and reflexivity, such as  $xRx$  or  $xRz$ ):



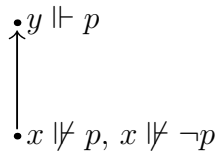
By monotonicity, since  $yRw$  and  $zRw$ , the formulae  $A \rightarrow (B \rightarrow C)$  and  $A \rightarrow B$  are also true in  $w$ . Since modus ponens is applicable for  $\Vdash$ , we have  $w \Vdash B \rightarrow C$ ,  $w \Vdash B$ , and finally  $w \Vdash C$ , which is our goal.

Other axioms of Int are checked similarly. We leave it as an exercise. □

Using this soundness theorem, one can prove that a formula is not derivable in Int.

*Example 3.*  $\not\vdash_{\text{Int}} p \vee \neg p$

This formula is classically valid, therefore we should use more than one Kripke world to falsify it. Fortunately, two worlds are already sufficient. Let  $W = \{x, y\}$ ,  $xRy$  (and, of course,  $xRx$  and  $yRy$ , but not  $yRx$ ). Then let  $v(p, x) = 0$  and  $v(p, y) = 1$ .



In this model, neither  $x \Vdash p$ , nor  $x \Vdash \neg p$  (because  $p$  is true in  $y \in R(x)$ ). Thus,  $p \vee \neg p$  is not true in  $x$  and therefore is not derivable in Int.

Lecture 2, Jan 17

## 5. Kripke Completeness

In this section we prove the converse of Theorem 2, the *completeness theorem*.

**Theorem 3.** *If a formula is true in every possible world of any Kripke model, then it is derivable in Int.*

We proceed by contraposition. Let  $A$  be a formula such that  $\not\vdash_{\text{Int}} A$ . We construct a *countermodel* for  $A$ , that is, a model  $\mathcal{M}$  that contains a world  $x$ , such that  $\mathcal{M}, x \not\Vdash A$ . In fact, we'll construct one model, that acts as a countermodel for all non-derivable formulae. This will be the *canonical model* for Int, denoted by  $\mathcal{M}_0$ .

**Definition.** A set  $\Gamma$  of formulae is called a *disjunctive theory*, if

1.  $\Gamma$  is *deductively closed*, i.e., if  $\Gamma \vdash_{\text{Int}} B$ , then  $B \in \Gamma$ ;
2.  $\Gamma$  is *consistent*, i.e.,  $\Gamma \not\vdash_{\text{Int}} \perp$ ;
3.  $\Gamma$  is *disjunctive*, i.e., if  $\Gamma \vdash_{\text{Int}} A \vee B$ , then  $\Gamma \vdash_{\text{Int}} A$  or  $\Gamma \vdash_{\text{Int}} B$ .

**Definition.** The *canonical model* for Int is the model  $\mathcal{M}_0 = \langle W_0, R_0, v_0 \rangle$ , where

- $W_0$  is the set of all disjunctive theories,
- $R_0$  is the subset relation ( $\Gamma_1 R_0 \Gamma_2 \iff \Gamma_1 \subseteq \Gamma_2$ ),
- $v_0$  is defined as follows:  $v_0(p, \Gamma) = 1 \iff p \in \Gamma$ .

The main property of  $\mathcal{M}_0$  is that disjunctive theories, as worlds of  $\mathcal{M}_0$ , force the same formulae that they derive, as theories over Int:

**Lemma 4.**  $\mathcal{M}_0, \Gamma \Vdash B \iff B \in \Gamma$ .

This lemma is sometimes called the *Main Semantic Lemma*.

Now let  $A$  be a formula that is not derivable in Int. To prove that  $\mathcal{M}_0$  is a countermodel for  $A$ , it is sufficient to construct a disjunctive theory that doesn't include  $A$ . In classical logic, we would take  $\{\neg A\}$  and extend it to a complete (disjunctive) theory. However, in intuitionistic logic,  $\{\neg A\}$  could be actually inconsistent:

*Example 4.* Let  $A = p \vee \neg p$ . Then (see Example 3)  $\not\vdash_{\text{Int}} A$ . On the other hand,  $\vdash_{\text{Int}} \neg\neg(p \vee \neg p)$  (exercise!), and therefore  $\neg A \vdash_{\text{Int}} \perp$ , i.e.,  $\{\neg A\}$  is inconsistent.

Still, we need a way to control that  $A$  doesn't get accidentally included into the theory while we extend it. So, we consider *pairs* of sets of formulae. Intuitively, in a pair  $(\Gamma, \Delta)$   $\Gamma$  is the *positive* part (actually, the theory), and  $\Delta$  is the *negative* part (formulae which we want to prevent from being included into  $\Gamma$ ).

**Definition.** A pair  $(\Gamma, \Delta)$  is called “consistent,” if there are no such  $G_1, \dots, G_n \in \Gamma$  and  $D_1, \dots, D_k \in \Delta$ , that

$$\vdash_{\text{Int}} G_1 \wedge \dots \wedge G_n \rightarrow D_1 \vee \dots \vee D_k.$$

Important particular cases are  $n = 0$  and  $k = 0$ . The empty conjunction is  $\top = \neg\perp$ , and the empty disjunction is  $\perp$ . Thus,  $(\Gamma, \emptyset)$  is consistent iff  $\Gamma$  is consistent as a theory ( $\Gamma \not\vdash_{\text{Int}} \perp$ ), and  $(\emptyset, \Delta)$  is consistent iff no disjunction of formulae from  $\Delta$  is derivable in Int. Also, if  $(\Gamma, \Delta)$  is consistent, then  $\Gamma \not\vdash_{\text{Int}} \perp$ .

Consistency means that the negative part doesn’t follow from the positive one.

**Definition.** A consistent pair  $(\Gamma, \Delta)$  is called *complete*, if for each formula  $B$  either  $B \in \Gamma$  or  $B \in \Delta$ . In other words, complete pairs are consistent pairs of the form  $(\Gamma, \text{Fm} - \Gamma)$ .

Disjunctive theories and complete pairs are in a one-to-one correspondence:

**Lemma 5.** 1. If  $(\Gamma, \Delta)$  is a complete pair, then  $\Gamma$  is a disjunctive theory.

2. If  $\Gamma$  is a disjunctive theory, then  $(\Gamma, \text{Fm} - \Gamma)$  is a complete pair.

*Proof.* 1. Since  $(\Gamma, \Delta)$  is consistent, then  $\Gamma$  is consistent (as a theory). Let  $\Gamma \vdash_{\text{Int}} B$ . Then  $B$  cannot be in  $\Delta$  (this would violate consistency: take for  $G_1, \dots, G_n$  the formulae from  $\Gamma$  that occur in the derivation—there is a finite number of them—and apply Deduction Theorem). Therefore, by completeness,  $B \in \Gamma$ . This means  $\Gamma$  is deductively closed.

Now let  $\Gamma \vdash_{\text{Int}} B \vee C$ . We need to prove that  $\Gamma \vdash_{\text{Int}} B$  or  $\Gamma \vdash_{\text{Int}} C$ . Suppose the contrary. Then  $B, C \in \Delta$ . But this violates consistency (take  $n = 1$ ,  $k = 2$ ,  $G_1 = B \vee C$ ,  $D_1 = B$ ,  $D_2 = C$ ). Therefore  $\Gamma$  is disjunctive.

2. We need to show that  $(\Gamma, \text{Fm} - \Gamma)$  is consistent (then it is complete by definition). Suppose the contrary:  $\vdash_{\text{Int}} G_1 \wedge \dots \wedge G_n \rightarrow D_1 \vee \dots \vee D_k$ . Let  $G = G_1 \wedge \dots \wedge G_n$ . Since  $\Gamma$  is deductively closed and of course  $\Gamma \vdash_{\text{Int}} G$ ,  $G \in \Gamma$ . Then, by Deduction Theorem  $\Gamma \vdash_{\text{Int}} D_1 \vee \dots \vee D_k$ . Since  $\Gamma$  is disjunctive, we have  $\Gamma \vdash_{\text{Int}} D_i$  for some  $i$  (formally, we have to proceed by induction on  $k$ ). But then  $D_i \in \Gamma$ . Contradiction.  $\square$

**Lemma 6.** If  $(\Gamma, \Delta)$  is a consistent pair, then there exists a complete pair  $(\Gamma', \Delta')$ , such that  $\Gamma' \supseteq \Gamma$  and  $\Delta' \supseteq \Delta$ .

*Proof.* Enumerate all formulae:  $B_1, B_2, \dots$ , and add them one by one into either  $\Gamma$  or  $\Delta$ . It is sufficient to show that the next formula  $B_i$  can be added to at least one side without making the pair inconsistent. If not, then we have

$$\vdash_{\text{Int}} G_1 \wedge \dots \wedge G_n \wedge B_i \rightarrow D_1 \vee \dots \vee D_k \quad \text{and} \quad \vdash_{\text{Int}} G_1 \wedge \dots \wedge G_n \rightarrow D_1 \vee \dots \vee D_k \vee B_i.$$

(We can always choose the same  $G_i$ ’s and  $D_j$ ’s, because we can weaken the statements by adding new stuff from  $\Gamma$  and  $\Delta$ .) Then (exercise!) by Deduction Theorem we can deduce  $\vdash_{\text{Int}} G_1 \wedge \dots \wedge G_n \rightarrow D_1 \vee \dots \vee D_k$ . But we suppose that the pair was consistent before adding  $B_i$ . Contradiction.  $\square$

The process of extending a consistent pair into a complete one is called *saturation*.

Now we’re ready to prove Lemma 4.

*Proof of Lemma 4.* Induction on the structure of  $B$ .

1.  $B$  is a variable. By definition of  $v_0$ .
2.  $B = \perp$ . Then  $\mathcal{M}_0, \Gamma \not\vdash \perp$  (by definition of forcing) and  $\perp \notin \Gamma$  (since  $\Gamma$  is consistent).
3.  $B = B_1 \vee B_2$ . Then  $\Gamma \Vdash B_1 \vee B_2$  iff  $\Gamma \Vdash B_1$  or  $\Gamma \Vdash B_2$  iff  $B_1 \in \Gamma$  or  $B_2 \in \Gamma$  iff  $(B_1 \vee B_2) \in \Gamma$ . The second step is by induction, and the third one is due to the disjunctiveness of  $\Gamma$ .
4.  $B = B_1 \wedge B_2$ . Proceed as in the  $\vee$  case. The last step holds since  $\Gamma$  is deductively closed (use axioms for  $\wedge$ ).
5.  $B = C \rightarrow D$ . The most interesting case. Let  $(C \rightarrow D) \in \Gamma$ . Then for any  $\Gamma' \in R_0(\Gamma)$  we also have  $(C \rightarrow D) \in \Gamma'$  (since  $R_0 = \subseteq$ ). Then if  $C \in \Gamma'$ , then  $D \in \Gamma'$  ( $\Gamma'$  is closed under modus ponens). By induction this means that if  $\Gamma' \Vdash C$ , then  $\Gamma' \Vdash D$ , for any  $\Gamma' \in R_0(\Gamma)$ . Therefore,  $\Gamma \Vdash C \rightarrow D$  (by definition of forcing).

Now let  $(C \rightarrow D) \notin \Gamma$ . We need to show that  $\Gamma \not\vdash C \rightarrow D$ , i.e. to construct such  $\Gamma' \in R_0(\Gamma)$  that  $\Gamma' \Vdash C$  and  $\Gamma' \not\vdash D$ . By induction this means  $C \in \Gamma'$  and  $D \notin \Gamma'$ . Consider the pair  $(\Gamma \cup \{C\}, \{D\})$ . This pair is consistent: otherwise  $\vdash_{\text{Int}} G_1 \vee \dots \vee G_n \vee C \rightarrow D$ , and by Deduction Theorem  $\Gamma \vdash_{\text{Int}} C \rightarrow D$ , and this is not the case by our assumption. Therefore, by Lemma 6 there exists a complete pair  $(\Gamma', \Delta')$ , such that  $\Gamma \cup \{C\} \subseteq \Gamma'$  and  $\{D\} \subseteq \Delta'$ . Then  $\Gamma'$  is the disjunctive theory we actually need:  $\Gamma \subseteq \Gamma'$  (i.e.  $\Gamma R_0 \Gamma'$ ),  $C \in \Gamma'$ , and  $D \notin \Gamma'$ .

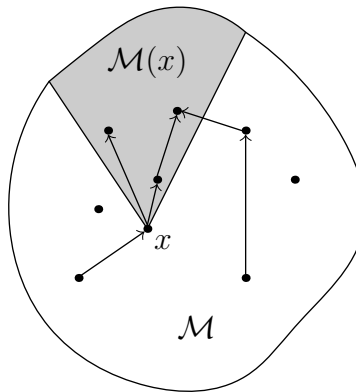
□

Now we can finish the proof of Theorem 3. Let  $\not\vdash_{\text{Int}} A$ . Then the pair  $(\emptyset, \{A\})$  is consistent, and by Lemma 6 there exists a complete pair  $(\Gamma, \Delta)$ , such that  $A \in \Delta$ . Therefore,  $A \notin \Gamma$ , and finally  $\mathcal{M}_0, \Gamma \not\vdash A$  (by Lemma 4).

## 6. Disjunctive Property

If a Kripke model has a minimal element (i.e., such  $x_0$ , that  $x_0 R x$  for all  $x \in W$ , or, in other words,  $W = R(x_0)$ ), then this element is called the *root* of the model.

Since the definition of forcing in a world  $x \in W$  depends only on worlds from  $R(x)$ , the same formulae will remain true in  $x$  if we remove all the worlds not from  $R(x)$ . The part of  $\mathcal{M}$  that is left is called the *cone* with root  $x$ , and is denoted by  $\mathcal{M}(x)$ .



Thus, if a formula  $A$  is false in a world  $x$  of model  $\mathcal{M}$ , then it is also false in the root of the model  $\mathcal{M}(x)$ . In other words, if a formula  $A$  is not derivable in Int, then there exists a Kripke model with a root such that  $A$  is false in its root.

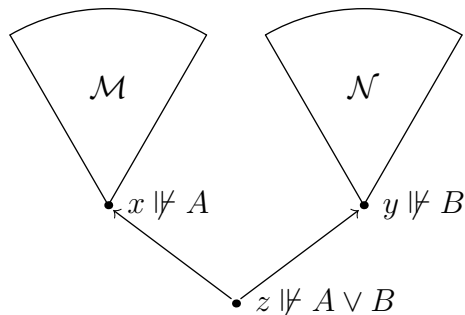
Now we're ready to prove an interesting property of intuitionistic disjunction that supports its BHK understanding:

**Theorem 7** (Disjunctive Property). *If  $\vdash_{\text{Int}} A \vee B$ , then  $\vdash_{\text{Int}} A$  or  $\vdash_{\text{Int}} B$ .*

(The converse also holds trivially, due to the axioms  $A \rightarrow (A \vee B)$  and  $B \rightarrow (A \vee B)$ .)

Disjunctive property is invalid for CL: for example,  $\vdash_{\text{CL}} p \vee \neg p$ , but neither  $\vdash_{\text{CL}} p$ , nor  $\vdash_{\text{CL}} \neg p$ . In fact, it supports the constructive reading of disjunction: *to prove a disjunction means to choose one of the disjuncts and prove it.*

*Proof of Theorem 7.* Suppose the contrary:  $\not\vdash_{\text{Int}} A$  and  $\not\vdash_{\text{Int}} B$ . Then, due to Theorem 3, there exist Kripke models  $\mathcal{M}$  and  $\mathcal{N}$  and worlds  $x$  and  $y$  such that  $\mathcal{M}, x \not\Vdash A$  and  $\mathcal{N}, y \not\Vdash B$ . As noticed above, we can assume that  $x$  is the root of  $\mathcal{M}$  and  $y$  is the root of  $\mathcal{N}$ . Also we suppose that the sets of worlds of  $\mathcal{M}$  and  $\mathcal{N}$  do not intersect. Then we can join these two models in the following way:



We add a new root,  $z$ . In order to maintain monotonicity of  $v$ , we declare all variables to be false in  $z$ . Then, by monotonicity of forcing,  $z \not\Vdash A$  and  $z \not\Vdash B$ . Hence,  $z \not\Vdash A \vee B$ , and therefore  $\not\vdash_{\text{Int}} A \vee B$  by Theorem 2.  $\square$

Disjunctive property actually means that the “empty” theory without any non-logical axioms, namely,  $\Theta = \{A \mid \vdash_{\text{Int}} A\}$ , is a disjunctive theory. Moreover, every disjunctive theory  $\Gamma$  includes  $\Theta$  (because  $\Gamma$  is deductively closed and therefore includes all theorems of Int). This means that  $\Theta$  is the root of the canonical model  $\mathcal{M}_0$ , and the canonical model has the following universality property:  $\vdash_{\text{Int}} A$  iff  $\mathcal{M}_0, \Theta \Vdash A$  (a formula is derivable in Int if and only if it is true in the root of the canonical model).

Lecture 3, Jan 19

## 7. Finite Model Property

The canonical model  $\mathcal{M}_0$  constructed above is infinite. However, for every formula that is not derivable in Int there exists a *finite* countermodel.

**Theorem 8.** *A formula is derivable in Int if and only if it is true in all finite models.*



*Proof.* If  $\not\vdash_{\text{Int}} A$ , then  $\mathcal{M}_0, \Theta \not\vdash A$ . Let  $\Phi = \text{SubFm}(A)$  be the set of all *subformulae* of  $A$ . Note that  $\Phi$  is finite. The definition of forcing for  $A$  refers only to formulae from  $\Phi$ , therefore, if two worlds force the same formulae from  $\Phi$ , we can consider them equivalent and join them into one world.

To formalize this idea, we define an equivalence relation on  $W_0$ :  $x \sim_{\Phi} y$  iff for any formula  $A \in \Phi$  we have  $x \Vdash A \iff y \Vdash A$ . It is easy to see that  $\sim_{\Phi}$  is indeed an equivalence relation (i.e., it is transitive, reflexive, and symmetric). Now we identify equivalent worlds. This procedure is called *filtration* of the model  $\mathcal{M}_0$ . We define a new model  $\mathcal{M}_0/\sim_{\Phi} = \langle W_0/\sim_{\Phi}, \bar{R}, v \rangle$ . The new set of worlds  $W_0/\sim_{\Phi}$  is the set of *equivalence classes* of worlds from  $W_0$  w.r.t.  $\sim_{\Phi}$ . The equivalence class of  $x \in W_0$  is the set  $[x]_{\sim_{\Phi}} = \{y \mid y \sim_{\Phi} x\}$ ;  $x_1 \sim_{\Phi} x_2 \iff [x_1]_{\sim_{\Phi}} = [x_2]_{\sim_{\Phi}}$ . Further we omit the subscript in the notation for  $[x]$ .

Now,  $[x]\bar{R}[y]$  iff  $x \Vdash B$  implies  $y \Vdash B$  for every  $B \in \Phi$ . Note that, since in equivalent worlds the same formulae from  $\Phi$  are true, this definition does not depend on what particular elements we take from  $[x]$  and  $[y]$ : if  $[x'] = [x]$  and  $[y'] = [y]$ , then the implication  $x' \Vdash B \Rightarrow y' \Vdash B$  is equivalent to the implication  $x \Vdash B \Rightarrow y \Vdash B$ .

The new relation  $\bar{R}$  is reflexive and transitive by definition.

The new variable valuation,  $v$ , is defined as  $v(p, [x]) = v_0(p, x)$  for  $p \in \Phi$  (for such variables all worlds from  $[x]$  have the same  $v_0$  valuation); variables not from  $\Phi$  are declared to be always false, to maintain monotonicity.

The filtered model  $\mathcal{M}_0/\sim_{\Phi}$  is finite (since there is only a finite number of possible valuations for formulae from  $\Phi$ ) and preserves forcing for formulae from  $\Phi$ :

$$\mathcal{M}_0, x \Vdash B \iff \mathcal{M}_0/\sim_{\Phi}, [x] \Vdash B \quad \text{if } B \in \Phi.$$

This statement is checked by induction on the structure of  $B$  (exercise!). By applying it to  $A$ , we get that  $\mathcal{M}_0/\sim_{\Phi}, [\Theta] \not\vdash A$ , which is our goal.  $\square$

Finite model property yields *algorithmic decidability* of intuitionistic propositional logic:

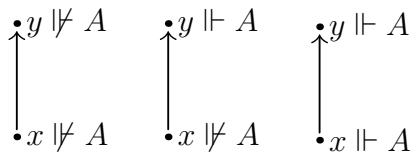
**Theorem 9.** Int (more precisely, the set  $\Theta = \{A \mid \vdash_{\text{Int}} A\}$ ) is decidable.

*Proof.* We run two algorithms in parallel: one generates all possible derivations, trying to prove  $A$ ; the other generates all possible finite Kripke models, trying to find a countermodel. Due to Theorem 8, one of these algorithms succeeds. Say “yes” if it is the first one, and “no” if it is the second one.  $\square$

Lectures 4 & 5, Jan 24, 26

## 8. Finite-Valued Logics and Intuitionistic Logic

Recall the two-world Kripke model that we used to falsify  $p \vee \neg p$ :  $\uparrow$ . In this frame, each formula  $A$  can have three possible valuations:



(The fourth possibility,  $x \Vdash A$  and  $y \not\Vdash A$ , violates the monotonicity constraint.)

Let's denote these valuations by 0,  $1/2$ , and 1 respectively. Since the valuation of a complex formula is determined by valuations of its subformulae (maybe in different worlds), we can use "truth tables" instead of the Kripke frame here. For example, if  $\bar{v}(A) = 1$  and  $\bar{v}(B) = 1/2$ , then  $\bar{v}(A \rightarrow B) = 1/2$ : indeed, we have  $x \Vdash A$ ,  $y \Vdash A$ ,  $x \not\Vdash B$ , and  $y \Vdash B$ , therefore  $A \rightarrow B$  is true in  $y$  and false in  $x$ . The complete truth tables are as follows<sup>1</sup>:

		B		
		0	$1/2$	1
A	0	1	1	1
	$1/2$	0	1	1
	1	0	$1/2$	1

		B		
		0	$1/2$	1
A	0	0	0	0
	$1/2$	0	$1/2$	$1/2$
	1	0	$1/2$	1

		B		
		0	$1/2$	1
A	0	0	$1/2$	1
	$1/2$	$1/2$	$1/2$	1
	1	1	1	1

Since  $\bar{v}(\perp) = 0$  and  $\neg A$  is an abbreviation for  $(A \rightarrow \perp)$ , the negation enjoys the following truth table:

A	$\neg A$
0	1
$1/2$	0
1	0

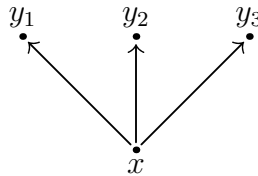
(By the way, thus  $p \vee \neg p$  is invalid here, since for  $v(p) = 1/2$  we have  $\bar{v}(p \vee \neg p) = 1/2 \neq 1$ .)

A formula  $A$  is a "3-valued tautology" if  $\bar{v}(A) = 1$  for any valuation of variables (or, in other words, if it is true in any Kripke model based on our two-world frame). Trivially, every formula that is derivable in Int is a 3-valued tautology.

The converse, however, doesn't hold. Consider the formula

$$I_3 = (p_0 \leftrightarrow p_1) \vee (p_0 \leftrightarrow p_2) \vee (p_0 \leftrightarrow p_3) \vee (p_1 \leftrightarrow p_2) \vee (p_1 \leftrightarrow p_3) \vee (p_2 \leftrightarrow p_3).$$

This formula is a 3-valued tautology: we have 4 variables  $(p_0, p_1, p_2, p_3)$  and 3 possible truth values, therefore for any valuation  $v$  at least two variables,  $p_i$  and  $p_j$ , receive the same truth value (by the pigeon-hole principle). Then  $\bar{v}(p_i \leftrightarrow p_j) = 1$  and  $\bar{v}(I_3) = 1$ . On the other hand, there is a Kripke model that falsifies  $I_3$ . Consider the following frame:



and let  $p_i$  be true only in  $y_i$  for  $i = 1, 2, 3$ ;  $p_0$  is false in all worlds. Then  $y_1$  falsifies  $(p_0 \leftrightarrow p_1)$ ,  $(p_1 \leftrightarrow p_2)$ , and  $(p_1 \leftrightarrow p_3)$ ,  $y_2$  falsifies  $(p_0 \leftrightarrow p_2)$  and  $(p_2 \leftrightarrow p_3)$ , and  $y_3$  falsifies  $(p_0 \leftrightarrow p_3)$ . Hence, all 6 disjuncts are false in  $x$  (by monotonicity), and therefore  $x \not\Vdash I_3$  and  $\not\vdash_{\text{Int}} I_3$ .

<sup>1</sup>They correspond to the RM<sub>3</sub> logic introduced by B. Sobociński.

We shall generalize this argument to show that Int does not coincide with any finite-valued logic. As a corollary, we establish that there is no finite universal Kripke model or frame for Int (since in a finite frame the set of possible valuations for variables/formulae is also finite).

To do this, we first formulate the notion of a *finite-valued logic* more accurately. A *k-valued semantic frame* is a tuple  $\mathcal{F} = \langle V, T, \ominus, \otimes, \oplus, \odot \rangle$ , where  $V$  is a  $k$ -element set of *truth values*,  $T \subset V$  is the set of truth values declared as “true”,  $\ominus \in V$  is the interpretation for the falsity constant, and  $\ominus, \otimes, \oplus, \odot: V \times V \rightarrow V$  are binary operations on  $V$  (“truth tables”).

As usually, the valuation function  $v: \text{Var} \rightarrow V$  is defined arbitrarily on variables and then propagated to all formulae:

- $\bar{v}(p) = v(p)$  for  $p \in \text{Var}$ ;
- $\bar{v}\perp = \ominus$ ;
- $\bar{v}(A \rightarrow B) = \bar{v}(A) \ominus \bar{v}(B)$ ;
- $\bar{v}(A \wedge B) = \bar{v}(A) \otimes \bar{v}(B)$ ;
- $\bar{v}(A \vee B) = \bar{v}(A) \oplus \bar{v}(B)$ .

A formula  $A$  is a  $k$ -valued tautology w.r.t.  $\mathcal{F}$  if  $\bar{v}(A) \in T$  for any valuation  $v$ . The set of all tautologies is the *logic* of  $\mathcal{F}$ :

$$\text{Log}(\mathcal{F}) = \{A \mid \bar{v}(A) \in T \text{ for all } v \text{ on } \mathcal{F}\}.$$

Note that we don’t impose any specific restrictions on  $\mathcal{F}$ : we don’t require  $\otimes$  and  $\oplus$  to be commutative, associative, and mutually distributive, we don’t suppose that  $\ominus$  obeys modus ponens, we even allow  $\ominus$  to belong to  $T$ . This enables some degenerate cases: if  $T = V$ , then  $\text{Log}(\mathcal{F})$  includes all formulae and defines the *logic of contradiction*; if  $T = \emptyset$ , the logic is empty. The more interesting cases include CL (with  $V = \{0, 1\}$ ,  $\ominus = 0$ , and  $\ominus, \otimes, \oplus$  defined by classic truth tables) and a lot of well-known many-valued logics (see the “Many-Valued Logic” article of the Stanford Encyclopedia of Philosophy for examples).

**Theorem 10.** *There is no such  $k$ -valued semantic frame  $\mathcal{F}$ , that*

$$\{A \mid \vdash_{\text{Int}} A\} = \text{Log}(\mathcal{F}).$$

*In other words, Int is not a  $k$ -valued logic for any finite  $k$ .*

*Proof.* Suppose the contrary: let Int be the logic of some  $\mathcal{F} = \langle V, T, \ominus, \otimes, \oplus, \odot \rangle$ .

We call  $a \in T$  *useless*, if there are no such  $k$ -valued tautology  $A \in \text{Log}(\mathcal{F})$  and valuation  $v: \text{Var} \rightarrow V$  that  $a = \bar{v}(A)$  (in other words, this element of  $T$  is never used for establishing that something is a tautology). Then removing  $a$  from  $T$  doesn’t change the logic. Further (for technical reasons) we suppose that  $T$  doesn’t include useless elements.

Let

$$I_k = \bigvee_{0 \leq i < j \leq k} (p_i \leftrightarrow p_j).$$

Now it is sufficient to prove two facts:

1.  $I_k \in \text{Log}(\mathcal{F})$ ;

2.  $\not\vdash_{\text{Int}} I_k$ .

The proof of the second fact is a straightforward generalization of the argument above for  $I_3$  (we construct a Kripke model with a root and  $k$  incomparable worlds visible from it, one for each variable  $p_1, \dots, p_k$ ;  $p_0$  is never true).

The first fact, however, is essentially non-trivial, because truth tables of  $\mathcal{F}$  are arbitrary, and it is true only in the presupposition that the logic of  $\mathcal{F}$  coincides with Int and that  $T$  doesn't contain useless elements. To establish that  $I_k$  is a  $k$ -valued tautology w.r.t.  $\mathcal{F}$ , we prove the following two statements:

1.  $(a \oplus a) \in T$  for every  $a \in V$  (here  $b \oplus c$  is a shortcut for  $(b \ominus c) \otimes (c \ominus b)$ ; clearly  $\bar{v}(B \leftrightarrow C) = \bar{v}(B) \oplus \bar{v}(C)$ );
2. if  $a \in T$  or  $b \in T$ , then  $a \otimes b \in T$ .

For the first statement we notice that, since  $\vdash_{\text{Int}} p \leftrightarrow p$  and the logic of  $\mathcal{F}$  is Int,  $\bar{v}(p \leftrightarrow p) = v(p) \oplus v(p) \in T$  for any valuation  $v$ . Then let  $v(p) = a$ .

The second statement is a bit trickier. Suppose that  $a \in T$  (the  $b \in T$  case is symmetric). Since  $T$  doesn't contain useless elements,  $a = \bar{v}(\tilde{A})$  for some  $k$ -valued tautology  $\tilde{A}$ . Being a  $k$ -valued tautology w.r.t.  $\mathcal{F}$ ,  $\tilde{A}$  is derivable in Int. Now let  $q$  be a fresh variable, so we can define  $v(q)$  arbitrarily not affecting the valuation of  $\tilde{A}$ . Let  $v(q) = b$ . The formula  $\tilde{A} \vee q$  is also derivable in Int (by modus ponens with the  $\tilde{A} \rightarrow (\tilde{A} \vee q)$  axiom). Hence,  $\bar{v}(\tilde{A} \vee q) = \bar{v}(\tilde{A}) \otimes v(q) = a \otimes b \in T$ .

Now we've accumulated enough good properties of  $\mathcal{F}$  to show that  $I_k$  is a  $k$ -valued tautology w.r.t.  $\mathcal{F}$ . Indeed, since we have  $k + 1$  variables  $(p_0, p_1, \dots, p_k)$ , at least two of them receive the same truth value:  $v(p_i) = v(p_j) = a \in T$ . Due to our first statement,  $\bar{v}(p_i \leftrightarrow p_j) = a \oplus a \in T$ . Then we apply the second statement many times to propagate this to the whole disjunction and get  $\bar{v}(I_k) \in T$ , therefore  $I_k \in \text{Log}(\mathcal{F})$ . Contradiction.  $\square$

## 9. Embedding CL into Int

At the first glance, Int is a subsystem of CL (everything provable in Int is also provable in CL, but not vice versa). Using only CL, however, one cannot distinguish intuitionistically valid formulae; in fact, the opposite holds: there are formula translations faithfully mapping into a fragment of Int. We present some of them here.

The **Gödel – Gentzen negative translation**  $A^N$  of formula  $A$  is defined recursively as follows:

- $p^N = \neg\neg p$  for  $p \in \text{Var}$ ;
- $\perp^N = \perp$ ;
- $(A \wedge B)^N = A^N \wedge B^N$ ;
- $(A \vee B)^N = \neg(\neg A^N \wedge \neg B^N)$ ;
- $(A \rightarrow B)^N = A^N \rightarrow B^N$ .

**Theorem 11.** *For any formula  $A$ ,*

$$\vdash_{\text{CL}} A \quad \text{iff} \quad \vdash_{\text{Int}} A^N.$$

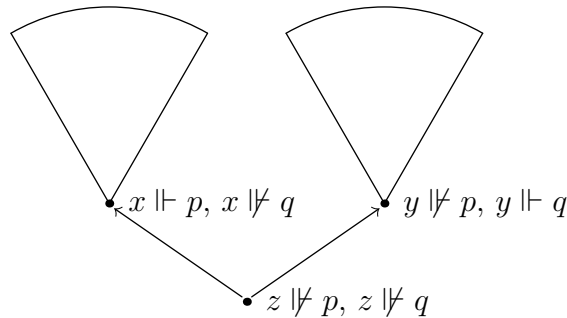
The right-to-left direction is obvious:  $\vdash_{\text{Int}} A^N$  implies  $\vdash_{\text{CL}} A^N$ , and in CL the formulae  $A^N$  and  $A$  are equivalent, due to the double negation principle and one of de Morgan laws.

For the opposite direction, we proceed by contraposition and use Kripke models. Let  $\not\vdash_{\text{Int}} A$ . Then there exists a countermodel  $\mathcal{M}_0$  with root  $x_0$  such that  $\mathcal{M}_0, x_0 \not\vdash A$ . Now we use the following key lemma:

**Lemma 12.** *Let  $\mathcal{M}$  be a model with root  $x$  and let  $B$  be an arbitrary formula. Then there exists a world  $y$  such that any subformula  $C$  of  $B$  has the same truth value in all worlds from  $\mathcal{M}(y)$ , and for the formula  $B$  itself this truth value coincides with the truth value of  $B^N$  in the root world  $x$ .*

This lemma, being applied to  $A$  and  $\mathcal{M}_0$ , immediately yields the main result. Since for every subformula of  $A$  its truth value is the same for all worlds in the cone  $\mathcal{M}_0(y)$ , the valuation for these formulae is actually computed classically, according to truth tables. Therefore, since  $A^N$  is false in the root world  $x_0$ , this valuation assigns “false” to  $A$ . Therefore,  $\not\vdash_{\text{CL}} A$ .

In Lemma 12, the positive case, when  $B^N$  is true in  $x$ , is indeed expected, since the truth of  $B^N$  is propagated to the whole model  $\mathcal{M}$  by monotonicity, and it looks plausible that  $B$  should also be widely true. The negative case, however, is interesting, since for formulae not of the form  $B^N$  this generally doesn't hold. For example, consider the following model:

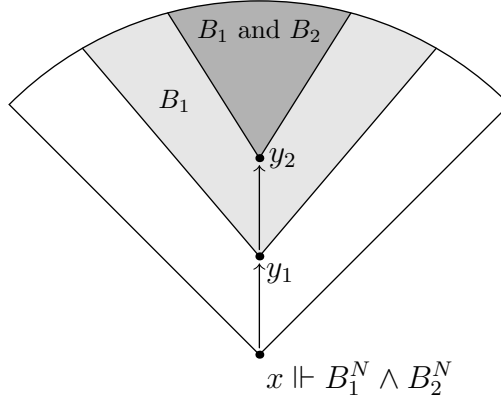


Here  $p \vee q$  is false in the root but is true in both cones on top. The Gödel – Gentzen translation for disjunction in de Morgan style rules out such branching situations.

*Proof of Lemma 12.* Proceed by structural induction on  $B$ .

1.  $B = p \in \text{Var}$  and  $x \Vdash B^N = \neg\neg p$ . Then  $x \not\vdash \neg p$ , and therefore there exists a world  $y \in R(x)$  such that  $y \Vdash p$ . By monotonicity,  $p$  is true in the whole cone  $\mathcal{M}(y)$ .
2.  $B = p \in \text{Var}$  and  $x \not\vdash B^N = \neg\neg p$ . Then there exists a world  $y$  such that  $y \Vdash \neg p$ . By definition of forcing for negation,  $p$  is false in the whole cone  $\mathcal{M}(y)$ .
3.  $B = \perp$  and  $x \Vdash B^N = \perp$ . Impossible, since  $\perp$  is never true.
4.  $B = \perp$  and  $x \not\vdash B^N = \perp$ . Take  $y = x$ :  $B = \perp$  is false everywhere and this coincides with the truth value of  $B^N$  in the root.
5.  $B = B_1 \wedge B_2$  and  $B^N$  is true in  $x$ . By definition,  $B^N = B_1^N \wedge B_2^N$ , and both  $B_1^N$  and  $B_2^N$  are true in  $x$ . By induction hypothesis, there exists a world  $y_1$  such that in  $\mathcal{M}(y_1)$  for every subformula  $C$  of  $B_1$  is either true everywhere or false everywhere, and  $B_1$  itself is true (since  $x \Vdash B_1^N$ ). Now, by monotonicity,  $y_1 \Vdash B_2^N$ . Therefore we can apply induction hypothesis

once more and obtain a world  $y_2 \in R(y_1)$  such that in the submodel  $\mathcal{M}(y_2)$  our statement holds *both* for subformulae of  $B_1$  and  $B_2$ , and therefore for all subformulae of  $B$ . Let  $y = y_2$ . Since  $B_1$  and  $B_2$  are both true everywhere in  $\mathcal{M}(y)$ , so is  $B = B_1 \wedge B_2$ .



6.  $B = B_1 \wedge B_2$  and  $B^N = B_1^N \wedge B_2^N$  is false in  $x$ . Then either  $B_1^N$  or  $B_2^N$  is false in  $x$ . Let it be  $B_1^N$ . Apply induction hypothesis to  $B_1^N$  and obtain a cone  $\mathcal{M}(y_1)$  in our statement holds for all subformulae of  $B_1$ , and  $B_1$  itself is false. Now we again go into a subcone  $\mathcal{M}(y_2)$  to stabilize truth values for subformulae of  $B_2$ . The truth value of  $B_2$  itself doesn't matter, because the falsity of  $B_1$  already falsifies  $B = B_1 \wedge B_2$ .
7.  $B = B_1 \vee B_2$  and  $B^N = \neg(\neg B_1^N \wedge \neg B_2^N)$  is true in  $x$ . Then  $x \Vdash \neg B_1^N \wedge \neg B_2^N$ , and therefore either  $\neg B_1^N$  or  $\neg B_2^N$  is false in  $x$ . Let it be  $\neg B_1^N$ . Then there exists a world  $y_1 \in R(x)$  such that  $y_1 \Vdash B_1^N$ . By induction hypothesis there is a world  $y_2 \in R(y_1)$  such that  $y_2 \Vdash B_1$  and in all worlds of  $\mathcal{M}(y_2)$  subformulae of  $B_1$  have the same truth value. Applying induction hypothesis once again, we stabilize also subformulae of  $B_2$  in a subcone  $\mathcal{M}(y)$  for  $y \in R(y_2)$ . The truth value of  $B_2$  doesn't matter, because  $B_1$  is sufficient to make  $B_1 \vee B_2$  true.
8.  $B = B_1 \vee B_2$  and  $B^N = \neg(\neg B_1^N \wedge \neg B_2^N)$  is false in  $x$ . Then there exists a world  $y_1 \in R(x)$  such that  $y_1 \Vdash \neg B_1^N \wedge \neg B_2^N$ , so *both*  $\neg B_1^N$  and  $\neg B_2^N$  are true in this world<sup>2</sup>. Now we proceed exactly as in Case 5, applying the induction hypothesis first for  $B_1^N$ , then for  $B_2^N$  (by monotonicity,  $\neg B_2^N$  remains true, therefore  $B_2^N$  remains false when going upwards). Thus we obtain a world  $y$  such that  $\mathcal{M}(y)$  satisfies the statement of the lemma for  $B_1$  and  $B_2$  (and, therefore, for  $B_1 \vee B_2$ ), and  $B_1 \vee B_2$  is false in all worlds of  $\mathcal{M}(y)$ .
9.  $B = B_1 \rightarrow B_2$  and  $B^N = B_1^N \rightarrow B_2^N$  is true in  $x$ . Consider two subcases:
  - $B_1^N$  is false in  $x$ . Then, by induction hypothesis, there exists a cone  $\mathcal{M}(y_1)$  such that in all worlds of this cone  $B_1$  is false, and all subformulae of  $B_1$  get the same truth values in all worlds of this cone. Then  $B_1 \rightarrow B_2$  is true (ex falso) everywhere in  $\mathcal{M}(y_1)$ . Then we apply the induction hypothesis to  $B_2$  to stabilize truth values of its subformulae. The truth value of  $B_2$  itself doesn't matter, since if  $B_1$  is false,  $B_1 \rightarrow B_2$  is always true.

<sup>2</sup>This is the crucial difference of the Gödel – Gentzen translation for disjunction from the original disjunction. In Int, if  $A \vee B$  is not true,  $A$  and  $B$  can be falsified in *different* worlds. Here we guarantee that there exists a cone (due to monotonicity) that falsifies  $A$  and  $B$  *simultaneously*.

- $B_1^N$  is true in  $x$ . Then, by monotonicity, it is true everywhere, and so is  $B_2^N$ . Now we proceed exactly as in Case 5.
10.  $B = B_1 \rightarrow B_2$  and  $B^N = B_1^N \rightarrow B_2^N$  is false in  $x$ . Then there exists a world  $y_1$  such that  $y_1 \Vdash B_1^N$  and  $y_1 \not\Vdash B_2^N$ . Apply the induction hypothesis first to  $B_2$ : we get a cone  $\mathcal{M}(y_2)$  (where  $y_2 \in R(y_1)$ ), satisfying the statement for  $B_2$  and where  $B_2$  is false in all worlds. By monotonicity,  $B_1^N$  is still true in  $y_2$ . Applying the induction hypothesis to  $B_2$  now, we get such a world  $y \in R(y_2)$  that subformulae of  $B_1$  (and, by previous reasoning, of  $B_2$  also) get the same truth values in all worlds of  $\mathcal{M}(y)$ , and, moreover,  $B_1$  is true and  $B_2$  is false in these worlds. Thus, in all worlds of  $\mathcal{M}(y)$  the formula  $B = B_1 \rightarrow B_2$  is false.

□

The Gödel – Gentzen negative translation can be generalized to *theories* over CL and Int. For an arbitrary theory (set of formulae)  $\Gamma$ , let  $\Gamma^N = \{A^N \mid A \in \Gamma\}$ .

**Theorem 13.** *For any theory  $\Gamma$  and formula  $B$ ,*

$$\Gamma \vdash_{\text{CL}} B \quad \text{iff} \quad \Gamma^N \vdash_{\text{Int}} B^N.$$

*Proof.* As in Theorem 11, the implication from right to left is obvious.

Now let  $\Gamma \vdash_{\text{CL}} B$ . Since the derivation is finite, in this derivation we use only a finite subtheory<sup>3</sup>  $\Gamma_0 \subset \Gamma$ . Let  $\bigwedge \Gamma_0$  be the conjunction of all formulae from  $\Gamma_0$ . Then, applying Deduction Theorem and axioms for  $\wedge$ , we get

$$\vdash_{\text{CL}} \bigwedge \Gamma_0 \rightarrow B.$$

By Theorem 11,

$$\vdash_{\text{Int}} \left( \bigwedge \Gamma_0 \rightarrow B \right)^N.$$

Since the Gödel – Gentzen translation commutes with  $\wedge$  and  $\rightarrow$ ,  $(\bigwedge \Gamma_0 \rightarrow B)^N$  is graphically equal to  $\bigwedge \Gamma_0^N \rightarrow B^N$ . By applying modus ponens and axioms for  $\wedge$ , we get  $\Gamma_0^N \vdash_{\text{Int}} B^N$ , and since  $\Gamma_0^N \subset \Gamma^N$ , we obtain our goal:  $\Gamma^N \vdash_{\text{Int}} B^N$ . □

The Gödel – Gentzen negative translation is not the only method of embedding CL into Int. A simpler translation is given by **Glivenko's theorem**:

**Theorem 14** (Glivenko). *For any formula  $A$ ,*

$$\vdash_{\text{CL}} A \quad \text{iff} \quad \vdash_{\text{Int}} \neg\neg A.$$

The proof is left as an exercise (*hint*: use the finite model property).

Glivenko's theorem also yields faithfulness of the following **Kolmogorov double-negation translation**:

- $p^{\neg\neg} = \neg\neg p$ ;
- $\perp^{\neg\neg} = \neg\neg\perp$ ;

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<sup>3</sup>This is an instance of the *compactness* argument.

- $(A \wedge B)^{\neg\neg} = \neg\neg(A^{\neg\neg} \wedge B^{\neg\neg})$ ;
- $(A \vee B)^{\neg\neg} = \neg\neg(A^{\neg\neg} \vee B^{\neg\neg})$ ;
- $(A \rightarrow B)^{\neg\neg} = \neg\neg(A^{\neg\neg} \rightarrow B^{\neg\neg})$ ;

In this translation, every subformula gets decorated with  $\neg\neg$ .

**Theorem 15.** *For any formula  $A$ ,*

$$\vdash_{\text{CL}} A \quad \text{iff} \quad \vdash_{\text{Int}} A^{\neg\neg}.$$

This is a trivial corollary of Glivenko's theorem, since  $A^{\neg\neg} = \neg\neg\tilde{A}$ , where  $\tilde{A}$  is a formula that is classically equivalent to  $A$ . Then we get the following:

$$\vdash_{\text{CL}} A \iff \vdash_{\text{CL}} \tilde{A} \iff \vdash_{\text{Int}} A^{\neg\neg}.$$

Here the second step is due to Glivenko's theorem.

## 10. Topological Models for Int

Recall the notion of abstract *topological space*. A topological space is a pair  $\langle X, \tau \rangle$ , where  $X$  is a set and  $\tau \subset \mathcal{P}(X)$  is a family of subsets of  $X$  that are declared as “open”. The family  $\tau$  is required to obey the following conditions:

- $\emptyset \in \tau, X \in \tau$ ;
- if  $A, B \in \tau$ , then  $A \cap B \in \tau$  ( $\tau$  is closed under *finite* intersections);
- if  $\mathcal{A}$  is a family of sets from  $\tau$ , then its union,  $\bigcup \mathcal{A}$ , also belongs to  $\tau$  ( $\tau$  is closed under *arbitrary* unions).

$\tau$  is called a *topology* on  $X$ . The standard example of a topological space is the Euclidean  $n$ -dimensional space  $\mathbb{R}^n$  with the standard topology: a set  $A \subset \mathbb{R}^n$  is open iff for every point  $x \in A$  there exists such  $r > 0$  that  $B_r(x) \subset A$ , where  $B_r(x)$  is the ball of radius  $r$  with its center in  $x$ . In other words, a set is open if every its point belongs to it with a *neighbourhood*.

We're going to interpret formulae of Int as subsets of a topological space  $\langle X, \tau \rangle$ , maintaining the constraint that the valuation of every formula should be an open set. For variables we define the valuation arbitrarily,  $v: \text{Var} \rightarrow \tau$ ;  $\bar{v}(\perp) = \emptyset$ . The propagation for conjunction and disjunction is easy:

$$\bar{v}(A \wedge B) = \bar{v}(A) \cap \bar{v}(B), \quad \bar{v}(A \vee B) = \bar{v}(A) \cup \bar{v}(B).$$

(Due to the properties of topological spaces,  $\bar{v}(A \wedge B)$  and  $\bar{v}(A \vee B)$  also belong to  $\tau$ .)

For implication one could classically expect  $\bar{v}(A \rightarrow B) = (X - \bar{v}(A)) \cup \bar{v}(B)$  (in CL,  $(A \rightarrow B) \equiv (\neg A \vee B)$ ), but this set could be not an open one. In order to force it to be open, we modify the definition:

$$\bar{v}(A \rightarrow B) = \text{In}((X - \bar{v}(A)) \cup \bar{v}(B)).$$



Here  $\text{In}(D)$  is the *interior* of a set  $D$ , i.e., the maximal open set that is included in  $D$ . (More formally, it is the *union* of all open subsets of  $D$ ,  $\text{In}(D) = \bigcup\{E \in \tau \mid E \subseteq D\}$ ; by definition, it is also an open set.)

The valuation for negation is computed as follows:

$$\bar{v}(\neg A) = \bar{v}(A \rightarrow \perp) = \text{In}((X - \bar{v}(A)) \cup \bar{v}(\perp)) = \text{In}(X - \bar{v}(A)).$$

In other words, negation is interpreted as the interior of the complement.

A formula  $A$  is considered *true* under valuation  $v$  on a topological space  $\langle X, \tau \rangle$ , if  $\bar{v}(A) = X$ .

One can easily see that this interpretation violated the law of excluded middle: indeed, a usual open set  $A$  in  $\mathbb{R}^n$  (for example, an open ball) has a non-trivial *border* that consists of points that belong neither to  $A$  nor to the interior of its complement,  $\text{In}(\mathbb{R}^n - A)$ . Every neighbourhood of a border point contains points both from  $A$  and from its complement.

On the other hands, axioms of  $\text{Int}$  and the modus ponens rule are valid w.r.t. this interpretation (exercise!). For example, take axiom  $A \rightarrow (B \rightarrow A)$ . Then

$$\bar{v}(A \rightarrow (B \rightarrow A)) = \text{In}((X - \bar{v}(A)) \cup \text{In}((X - \bar{v}(B)) \cup \bar{v}(A))) \supseteq \text{In}((X - \bar{v}(A)) \cup \text{In}(\bar{v}(A))),$$

since  $\text{In}$  is monotonic (if  $A \subseteq B$ , then  $\text{In}(A) \subseteq \text{In}(B)$ ). Since  $\bar{v}(A)$  is open, it coincides with its interior; then we get  $\text{In}((X - \bar{v}(A)) \cup \bar{v}(A)) = \text{In}(X) = X$ , thus  $\bar{v}(A \rightarrow (B \rightarrow A)) \subseteq X$ . The other inclusion is obvious.

The following completeness theorem was proved by Tarski:

**Theorem 16.** *For every  $n \geq 1$  the following holds:  $\vdash_{\text{Int}} A$  iff  $\bar{v}(A) = \mathbb{R}^n$  for every valuation  $v$  on  $\mathbb{R}^n$  with the standard topology.*

We shall prove a weaker result, namely, completeness w.r.t. *arbitrary* topological models. This class is bigger than the class of models on  $\mathbb{R}^n$ , and finding a countermodel is easier. In fact, we build it from a Kripke model.

**Theorem 17.** *If  $\not\vdash_{\text{Int}} A$ , then there exists a topological space  $\langle X, \tau \rangle$  and a valuation  $v$  on it such that  $\bar{v}(A) \neq X$ .*

*Proof.* By Theorem 3, there exists a Kripke countermodel for  $A$ ,  $\mathcal{M} = \langle W, R, v \rangle$ . We construct a topological space on  $W$  in the following way: for any  $A \subseteq W$  we declare  $A \in \tau$  iff for every  $x \in A$  all points from  $R(x)$  also belong to  $A$  (in other words, open sets are those that are upwardly closed under  $R$ ). Next, define the topological valuation  $v_\tau$ :  $v_\tau(p_i) = \{x \in W \mid x \Vdash p_i\}$ . Due to monotonicity, these sets are open in  $\tau$ . Moreover, the main semantic lemma holds:

$$\bar{v}_\tau(B) = \{x \in W \mid x \Vdash B\}$$

for every formula  $B$  (proved by structural induction).

Since  $\mathcal{M}$  is a countermodel for  $A$ , there exists such  $x_0 \in W$  that  $x_0 \not\Vdash A$ . Therefore,  $x_0 \notin \bar{v}_\tau(A)$ , therefore  $\bar{v}_\tau(A) \neq W$ .  $\square$